



04-12-01

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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JC984 U.S. PTO  
09/833109

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. \_\_\_\_\_

First Inventor \_\_\_\_\_

Title \_\_\_\_\_

Express Mail Label No. \_\_\_\_\_

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)2.  Applicant claims small entity status.  
See 37 CFR 1.27.3.  Specification [Total Pages **15**]  
(preferred arrangement set forth below)  
- Descriptive title of the invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table, or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure4.  Drawing(s) (35 U.S.C. 113) [Total Sheets **4**]5. Oath or Declaration [Total Pages **1**]

a.  Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)

i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b)

6.  Application Data Sheet. See 37 CFR 1.76**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 202317.  CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)a.  Computer Readable Form (CRF)

b. Specification Sequence Listing on:

i.  CD-ROM or CD-R (2 copies); orii.  paperc.  Statements verifying identity of above copies**ACCOMPANYING APPLICATION PARTS**9.  Assignment Papers (cover sheet & document(s))10.  37 CFR 3.73(b) Statement  Power of  
(when there is an assignee)  Attorney11.  English Translation Document (if applicable)12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS  
Citations13.  Preliminary Amendment14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)16.  Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.17.  Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation  Divisional  Continuation-in-part (CIP) of prior application No. \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

|  |  |           |  |
|--|--|-----------|--|
| <input type="checkbox"/> Customer Number or Bar Code Label | <input type="checkbox"/> (Insert Customer No. or attach bar code label here) |           | <input type="checkbox"/> or <input checked="" type="checkbox"/> Correspondence address below |
| Name   | David Wuchinich  |           |  |
| Address  | 431 Hawthorne Avenue   |           |  |
| City   | Yonkers  | State     | NY   |
| Country  | U.S.A.   | Telephone | 914 965 8825   |
|  |  | Zip Code  | 10705  |
|  |  | Fax       | 7072220142   |

|                   |                 |                                   |                |
|-------------------|-----------------|-----------------------------------|----------------|
| Name (Print/Type) | David Wuchinich | Registration No. (Attorney/Agent) |                |
| Signature         | David Wuchinich |                                   | Date 4/11/2001 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT

(\$ 355)

## Complete if Known

Application Number

Filing Date

First Named Inventor

Examiner Name

Group Art Unit

Attorney Docket No.

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number   
Deposit Account Name

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description        | Fee Paid             |
|----------|----------|----------|----------|------------------------|----------------------|
| 101      | 710      | 201      | 355      | Utility filing fee     | <input type="text"/> |
| 106      | 320      | 206      | 160      | Design filing fee      | <input type="text"/> |
| 107      | 490      | 207      | 245      | Plant filing fee       | <input type="text"/> |
| 108      | 710      | 208      | 355      | Reissue filing fee     | <input type="text"/> |
| 114      | 150      | 214      | 75       | Provisional filing fee | <input type="text"/> |

SUBTOTAL (1)  (\$)

## 2. EXTRA CLAIM FEES

| Total Claims         | Extra Claims | Fee from below   | Fee Paid             |
|----------------------|--------------|--|----------------------|
| <input type="text"/> | -20**        | <input type="text"/> X <input type="text"/> = <input type="text"/> | <input type="text"/> |
| <input type="text"/> | -3**         | <input type="text"/> X <input type="text"/> = <input type="text"/> | <input type="text"/> |
| <input type="text"/> |              | <input type="text"/> = <input type="text"/>                        | <input type="text"/> |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |     |  |
|----------------------------|----------------------------|-----------------|-----|--|
| 103                        | 18                         | 203             | 9   | Claims in excess of 20                                     |
| 102                        | 80                         | 202             | 40  | Independent claims in excess of 3                          |
| 104                        | 270                        | 204             | 135 | Multiple dependent claim, if not paid                      |
| 109                        | 80                         | 209             | 40  | ** Reissue independent claims over original patent         |
| 110                        | 18                         | 210             | 9   | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)  (\$)

\*\*or number previously paid, if greater. For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Fee Code                  | Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description   | Fee Paid             |
|---------------------------|-----------------------|-----------------------|---|----------------------|
| 105                       | 130                   | 205                   | 65 Surcharge - late filing fee or oath  | <input type="text"/> |
| 127                       | 50                    | 227                   | 25 Surcharge - late provisional filing fee or cover sheet                     | <input type="text"/> |
| 139                       | 130                   | 139                   | 130 Non-English specification   | <input type="text"/> |
| 147                       | 2,520                 | 147                   | 2,520 For filing a request for ex parte reexamination                         | <input type="text"/> |
| 112                       | 920*                  | 112                   | 920* Requesting publication of SIR prior to Examiner action                   | <input type="text"/> |
| 113                       | 1,840*                | 113                   | 1,840* Requesting publication of SIR after Examiner action                    | <input type="text"/> |
| 115                       | 110                   | 215                   | 55 Extension for reply within first month                                     | <input type="text"/> |
| 116                       | 390                   | 216                   | 195 Extension for reply within second month                                   | <input type="text"/> |
| 117                       | 890                   | 217                   | 445 Extension for reply within third month                                    | <input type="text"/> |
| 118                       | 1,390                 | 218                   | 695 Extension for reply within fourth month                                   | <input type="text"/> |
| 128                       | 1,890                 | 228                   | 945 Extension for reply within fifth month                                    | <input type="text"/> |
| 119                       | 310                   | 219                   | 155 Notice of Appeal  | <input type="text"/> |
| 120                       | 310                   | 220                   | 155 Filing a brief in support of an appeal                                    | <input type="text"/> |
| 121                       | 270                   | 221                   | 135 Request for oral hearing  | <input type="text"/> |
| 138                       | 1,510                 | 138                   | 1,510 Petition to institute a public use proceeding                           | <input type="text"/> |
| 140                       | 110                   | 240                   | 55 Petition to revive - unavoidable   | <input type="text"/> |
| 141                       | 1,240                 | 241                   | 620 Petition to revive - unintentional  | <input type="text"/> |
| 142                       | 1,240                 | 242                   | 620 Utility issue fee (or reissue)  | <input type="text"/> |
| 143                       | 440                   | 243                   | 220 Design issue fee  | <input type="text"/> |
| 144                       | 600                   | 244                   | 300 Plant issue fee   | <input type="text"/> |
| 122                       | 130                   | 122                   | 130 Petitions to the Commissioner   | <input type="text"/> |
| 123                       | 50                    | 123                   | 50 Processing fee under 37 CFR 1.17(q)  | <input type="text"/> |
| 126                       | 180                   | 126                   | 180 Submission of Information Disclosure Stmt                                 | <input type="text"/> |
| 581                       | 40                    | 581                   | 40 Recording each patent assignment per property (times number of properties) | <input type="text"/> |
| 146                       | 710                   | 246                   | 355 Filing a submission after final rejection (37 CFR § 1.129(a))             | <input type="text"/> |
| 149                       | 710                   | 249                   | 355 For each additional invention to be examined (37 CFR § 1.129(b))          | <input type="text"/> |
| 179                       | 710                   | 279                   | 355 Request for Continued Examination (RCE)                                   | <input type="text"/> |
| 169                       | 900                   | 169                   | 900 Request for expedited examination of a design application                 | <input type="text"/> |
| Other fee (specify) _____ |                       |                       |   |                      |

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)  (\$)

## SUBMITTED BY

Name (Print/Type)

David Wuchinich

Registration No.  
(Attorney/Agent)

Complete (if applicable)

Telephone 914 966 8825

Signature

David Wuchinich

Date 4/11/2001

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I hereby certify that this paper or fee is being deposited with the United States Postal Service using "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to "Commissioner of Patents and Trademarks, Washington, DC 20231."

Signed:

Inventor:

